



Anti-Money Laundering and Counter-Terrorism Financing (Class Exemptions and Other Matters) Rules 2007

made under section 229 of the

Anti-Money Laundering and Counter-Terrorism Financing Act 2006

This future law compilation was prepared on 16 May 2025 taking into account amendments made by the **Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment (Consequential Amendments) Instrument 2025**.

The date of commencement for the incorporated amendments was unknown at the time of preparation.

Prepared by the Office of Parliamentary Counsel, Canberra

About this compilation

This compilation

This is a future compilation of the *Anti-Money Laundering and Counter-Terrorism Financing (Class Exemptions and Other Matters) Rules 2007* that shows the expected text of the law as amended by the Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment (Consequential Amendments) Instrument 2025.

The notes at the end of this compilation (the *endnotes*) include information about amending laws and the amendment history of provisions of the future compilation.

Future amendments

The details of expected future amendments incorporated into the text, that have not yet commenced are underlined in the endnotes.

Any future amendments that are included in the endnotes are underlined.

Application, saving and transitional provisions for provisions and amendments

If the operation of a provision or amendment of the compiled law is affected by an application, saving or transitional provision that is not included in this compilation, details are included in the endnotes.

Editorial changes

For more information about any editorial changes made in this compilation, see the endnotes.

Modifications

If the compiled law is modified by another law, the compiled law operates as modified but the modification does not amend the text of the law. Accordingly, this compilation does not show the text of the compiled law as modified. For more information on any modifications, see the Register for the compiled law.

Self-repealing provisions

If a provision of the compiled law has been repealed in accordance with a provision of the law, details are included in the endnotes.

Contents

1	Name of Instrument [see Note 1]	1
2	Rules	1
CHAPTER 1	2	
Part 1.1	Introduction	2
Part 1.2	Key terms and concepts	2
CHAPTER 21	Issuing or selling a security or derivative	3
CHAPTER 22	Exemption of certain types of transactions relating to the over-the-counter derivatives market	7
CHAPTER 31	Exemption of certain types of transactions relating to currency exchange transactions	12
CHAPTER 42	Commodity warehousing of grain	14
CHAPTER 43	Friendly Society closed funds	16
CHAPTER 45	Debt Collection	17
CHAPTER 47	Risk-only life policy interests in a superannuation fund	19
CHAPTER 48	Exemption of salary packaging administration services from the AML/CTF Act	21
CHAPTER 49	International Uniform Give-Up Agreements	22
CHAPTER 67	Warrants	24
Notes to the <i>Anti-Money Laundering and Counter-Terrorism Financing (Class Exemptions and Other Matters) Rules 2007</i> Rules Instrument 2007 (No. 1)		26

1 Name of Instrument [see Note 1]

This Instrument is the *Anti-Money Laundering and Counter-Terrorism Financing (Class Exemptions and Other Matters) Rules 2007*.

2 Rules

The *Anti-Money Laundering and Counter-Terrorism Financing Rules* are set out in this Instrument.

Exposure Draft

CHAPTER 1

Part 1.1 Introduction

- 1.1.1 These Anti-Money Laundering and Counter-Terrorism Financing Rules (AML/CTF Rules) are made pursuant to section 229 of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act). Section 229 of the AML/CTF Act empowers the AUSTRAC CEO to make rules prescribing matters required or permitted by the AML/CTF Act to be prescribed by AML/CTF Rules. This Chapter commences on 1 June 2014.

Part 1.2 Key terms and concepts

- Note: A number of expressions used in these Rules are defined in the AML/CTF Act, including the following:
- (a) designated service;
 - (b) reporting entity;
 - (c) managed investment scheme.

- 1.2.1 In these Rules:

AML/CTF Act means the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*.

CHAPTER 21 Issuing or selling a security or derivative

Anti-Money Laundering and Counter-Terrorism Financing Rules for issuing or selling a security or derivative

- 21.1 These Anti-Money Laundering and Counter-Terrorism Financing Rules (Rules) are made under section 229 of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act) for paragraph (d) of item 35 of table 1 in subsection 6(2) and subsection 247(3) of that Act.
- 21.2 For paragraph (d) of item 35 of table 1 in subsection 6(2) of the AML/CTF Act, the condition applies that the service is not a disposal of a security or derivative through an agent who is doing so in the course of carrying on a business of disposing of securities or derivatives in the capacity of agent, within the terms of item 33 of table 1 in subsection 6(2) of that Act.
- 21.3 For subsection 247(3) of the AML/CTF Act, the following designated services provided in any of the following circumstances are specified:
- (1) a person issuing or selling a security or derivative to another person (transaction) under item 35 of table 1 in subsection 6(2) of the AML/CTF Act and the transaction occurs:
 - (a) on a declared financial market; or
 - (aa) on a specified financial market; or
 - (b) on a financial market in a foreign jurisdiction where:
 - (i) the financial market uses a proprietary system to facilitate the transaction; and
 - (ii) as a result of the use of that proprietary system, it is not reasonably practicable to conduct the applicable customer identification procedure on the customer in that jurisdiction; or
 - (2) an issue of an interest in a managed investment scheme (including an option to acquire an interest in a managed investment scheme) where the managed investment scheme is quoted on a declared financial market, in the following circumstances:
 - (a) the issue is in accordance with relevant requirements in the *Corporations Act 2001* pursuant to a dividend or distribution plan (also known as a distribution reinvestment plan); and

-
- (b) the interest is to be quoted on a declared financial market; or
 - (3) an issue of an interest in a managed investment scheme (including an option to acquire an interest in a managed investment scheme) where the managed investment scheme is quoted or to be quoted on a declared financial market, in the following circumstances:
 - (a) the issue is in accordance with relevant requirements in the *Corporations Act 2001* pursuant to fundraising (including an initial public offering and a rights issue); and
 - (b) the interest is to be quoted on a declared financial market.
 - (4) an issue (but not sale, transfer or redemption) of an interest in a registered managed investment scheme (except for an interest which forms part of a stapled security) covered by item 35 of table 1 in subsection 6(2) of the AML/CTF Act (item 35 designated service) by a reporting entity (first reporting entity) in the following circumstances:
 - (a) the processing and settlement of the issue of the interest in the registered managed investment scheme occurs through a MIS service operated:
 - (i) only by:
 - (A) a declared financial market; or
 - (B) the operator of a financial market in respect of which an Australian market licence exemption has been made; or
 - (ii) only by both of the persons specified in subparagraphs 21.3(4)(a)(i)(A) and (B);
 - (b) the interest in the registered managed investment scheme is not quoted on a financial market; and
 - (c) the customer of the item 35 designated service has also been provided or will be provided with a designated service covered by item 33 of table 1 in subsection 6(2) of the AML/CTF Act by another reporting entity (second reporting entity); and
 - (d) the second reporting entity:
 - (i) is a participant;
 - (ii) has carried out the applicable customer identification procedure in respect to the customer; and

- (iii) has confirmed, through the MIS service, to the first reporting entity, that the applicable customer identification procedure has been carried out for that customer by the second reporting entity; and
- (e) prior to the first reporting entity issuing the interest in the registered managed investment scheme to the customer, the first reporting entity has received, through the MIS service, confirmation from the second reporting entity that the applicable customer identification procedure has been carried out in respect to the customer.

21.4 In this Chapter:

- (1) ‘Australian market licence exemption’ means an exemption from the financial market licensing provisions in Part 7.2 of the *Corporations Act 2001* granted pursuant to section 791C of that Act;
- (1A) ‘declared financial market’ has the meaning given by section 9 of the *Corporations Act 2001*;
- (2) ‘dividend or distribution plan’ has the meaning given by Chapter 19 of the ASX Listing Rules issued, as in force on 22 April 2008, by ASX Limited trading as the Australian Securities Exchange;
- (3) ‘initial public offering’ in the context of an interest in a managed investment scheme is an offering of that interest by a reporting entity, for the first time, to an applicant who subscribes for that interest, before the interest is quoted on a declared financial market;
- (4) ‘interest’ in a managed investment scheme includes a stapled security whereby one or more financial products are transferred together;
- (6) ‘MIS service’ means an electronic facility which:
 - (a) is solely used to receive, process and settle issues, sales, transfers and redemptions of interests in managed investment schemes (and associated receipts and payments); and
 - (b) for an issue of a new interest in a managed investment scheme – requires the applicable customer identification procedure in relation to a customer to be carried out by the second reporting entity; and
 - (c) requires the second reporting entity to, through the MIS service, advise the first reporting entity when the applicable customer identification procedure has been carried out in relation to the customer to whom the interest is to be issued prior to the issue of that interest;

-
- (7) ‘participant’ has the meaning given by section 761A of the *Corporations Act 2001*;
 - (9) ‘registered managed investment scheme’ means a managed investment scheme that is registered under section 601EB of the *Corporations Act 2001*;
 - (10) ‘proprietary system’ means a system or systems for the electronic trading, clearing and settlement of transactions relating to securities or derivatives;
 - (11) ‘rights issue’ has the meaning given by section 9A of the *Corporations Act 2001*;
 - (12) ‘specified financial market’ means
 - (a) the Australian Securities Exchange Limited (ACN 000 943 377); or
 - (b) FEX Global Pty Ltd (ACN 124 127 224).

Reporting entities should note that in relation to activities they undertake to comply with the AML/CTF Act, they will have obligations under the Privacy Act 1988, including the requirement to comply with the Australian Privacy Principles, even if they would otherwise be exempt from the Privacy Act. For further information about these obligations, please go to <http://www.oaic.gov.au> or call 1300 363 992.

CHAPTER 22 Exemption of certain types of transactions relating to the over-the-counter derivatives market

- 22.1 These Anti-Money Laundering and Counter-Terrorism Financing Rules (Rules) are made under section 229 for subsection 247(3) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act).
- 22.2 Subject to paragraph 22.3, the AML/CTF Act does not apply to a designated service that:
- (1) is of a kind described in item 35 of table 1 in section 6 of the AML/CTF Act; and
 - (2) relates to the over-the-counter derivatives market in Australia in respect of one or more of the following commodities or products:
 - (a) electricity; or
 - (b) gas; or
 - (c) environmental products; or
 - (d) coal, oil, diesel or other form of fuel used in the generation of electricity by either:
 - (i) the provider of the designated service; or
 - (ii) the customer; or
 - (e) interest rate derivative products; or
 - (f) weather index or scale products relating to precipitation, temperature, wind, humidity or solar exposure; or
 - (g) foreign exchange derivative products; or
 - (h) oil derivative products linked to gas supply contracts.
- 22.3 The exemption in paragraph 22.2 only applies if:
- (1) the person who provides the designated service:
 - (a) is registered as a Registered Participant under the *National Electricity Rules*; or

-
- (b) is a Generator who under Rule 2.9.3 of the *National Electricity Rules* is exempt from registration; or
 - (c) is registered as a Rule Participant under the *Wholesale Electricity Market Rules*; or
 - (d) in a registrable capacity set out in Rule 135A, is registered as a Registered Participant under Rule 135AE of the *National Gas Rules*; or
 - (e) in a registrable capacity set out in Rule 135ABA, is registered as a Registered Participant under Rule 135AE of the *National Gas Rules*; and
 - (f) one of the following applies:
 - (i) the person holds an Australian financial services licence that authorises that person to provide the designated service; or
 - (ii) the person acts through an agent that holds an Australian financial services licence which authorises that agent to provide the designated service on behalf of the person, or is appointed as an authorised representative under section 916A of the *Corporations Act 2001* to provide the designated service on behalf of the person; or
 - (iii) the person is, by regulation made under section 134 of the *Electricity Industry Act 2004* (WA), exempt from the requirement to hold an Australian financial services licence which authorises that person to provide the designated service; and
- (2) the person who is the customer of the designated service:
- (a) is registered as a Registered Participant under the *National Electricity Rules*; or
 - (b) is a Generator who under Rule 2.9.3 of the *National Electricity Rules* is exempt from registration; or
 - (c) is registered as a Rule Participant under the *Wholesale Electricity Market Rules*; or
 - (d) in a registrable capacity set out in Rule 135A, is registered as a Registered Participant under Rule 135AE of the *National Gas Rules*; or
-

- (e) in a registrable capacity set out in Rule 135ABA, is registered as a Registered Participant under Rule 135AE of the *National Gas Rules*; or
- (f) is acting on behalf of a person specified in subparagraphs 22.3(2)(a)-(e).

22.4 Subject to paragraph 22.5, the AML/CTF Act does not apply to a designated service that:

- (1) is of a kind described in item 33 of table 1 in section 6 of the AML/CTF Act; and
- (2) relates to the over-the-counter derivatives market in Australia in respect of one or more of the following commodities or products:
 - (a) electricity; or
 - (b) gas; or
 - (c) environmental products; or
 - (d) coal, oil, diesel or other form of fuel used in the generation of electricity by either:
 - (i) the provider of the designated service; or
 - (ii) the customer; or
 - (e) interest rate derivative products; or
 - (f) weather index or scale products relating to precipitation, temperature, wind, humidity or solar exposure; or
 - (g) foreign exchange derivative products; or
 - (h) oil derivative products linked to gas supply contracts.

22.5 The exemption in paragraph 22.4 only applies if:

- (1) the person who provides the designated service:
 - (a) is acting in the capacity of an agent of a person who is registered as a Registered Participant under the *National Electricity Rules*; or

-
- (b) is acting in the capacity of an agent of a person who is a Generator who under Rule 2.9.3 of the *National Electricity Rules* is exempt from registration; or
 - (c) is acting in the capacity of an agent of a person who is registered as a Rule Participant under the *Wholesale Electricity Market Rules*; or
 - (d) is acting in the capacity of an agent of a person who in a registrable capacity set out in Rule 135A, and is registered as a Registered Participant under Rule 135AE of the *National Gas Rules*; or
 - (e) is acting in the capacity of an agent of a person in a registrable capacity set out in Rule 135ABA, and is registered as a Registered Participant under Rule 135AE of the *National Gas Rules*; and
 - (f) one of the following applies:
 - (i) the person holds an Australian financial services licence that authorises that person to provide the designated service; or
 - (ii) the person is appointed as an authorised representative under section 916A of the *Corporations Act 2001* to provide the designated service; or
 - (iii) the person is, by regulation made under section 134 of the *Electricity Industry Act 2004* (WA), exempt from the requirement to hold an Australian financial services licence that authorises that person to provide the designated service; and
- (2) the person who is the customer of the designated service:
- (a) is registered as a Registered Participant under the *National Electricity Rules*; or
 - (b) is a Generator who under Rule 2.9.3 of the *National Electricity Rules* is exempt from registration; or
 - (c) is registered as a Rule Participant under the *Wholesale Electricity Market Rules*; or

- (d) in a registrable capacity set out in Rule 135A, is registered as a Registered Participant under Rule 135AE of the *National Gas Rules*; or
- (e) in a registrable capacity set out in Rule 135ABA, is registered as a Registered Participant under Rule 135AE of the *National Gas Rules*; or
- (f) is acting in the capacity of an agent of a person specified in subparagraphs 22.5(2)(a)-(e).

22.6 In this Chapter:

- (2) ‘environmental products’ are transferable instruments created or recognised under Commonwealth, State or Territory laws which are intended to enhance environmental sustainability, reduce greenhouse gas emissions, increase the generation of electricity from renewable sources or encourage the efficient use of energy and including any emissions trading or other scheme;
- (3) ‘National Electricity Rules’ refers to the Rules made pursuant to the *National Electricity Law* set out in the Schedule to the *National Electricity (South Australia) Act 1996* (SA);
- (4) ‘National Gas Rules’ refers to the Rules made pursuant to the National Gas Law set out in the Schedule to the *National Gas (South Australia) Act 2008*;
- (5) ‘Wholesale Electricity Market Rules’ refers to the market rules made under the *Electricity Industry (Wholesale Electricity Market) Regulations 2004* (WA).

Reporting entities should note that in relation to activities they undertake to comply with the AML/CTF Act, they will have obligations under the Privacy Act 1988, including the requirement to comply with the Australian Privacy Principles, even if they would otherwise be exempt from the Privacy Act. For further information about these obligations, please go to OAIC or call 1300 363 992.

CHAPTER 31 Exemption of certain types of transactions relating to currency exchange transactions

- 31.1 These Anti-Money Laundering and Counter-Terrorism Financing Rules (Rules) are made under section 229 for subsection 247(3) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act).
- 31.2 Subject to paragraph 31.3, the AML/CTF Act does not apply to the provision of a designated service of the kind described in item 50 of table 1 in subsection 6(2) of the AML/CTF Act.
- 31.3 The exemption in paragraph 31.2 applies only if:
- (1) the designated service is provided in the course of carrying on a business that provides short-term accommodation for travellers; and
 - (2) the customer of the designated service is a registered guest at the place of the short-term accommodation for travellers; and
 - (3) the currency exchanged must not exceed:
 - (a) \$500 (Australian or the foreign equivalent) per registered guest per day; and
 - (b) \$1,000 (Australian or the foreign equivalent) per room account per day; and
 - (4) any charge relating to the designated service that is imposed on the registered guest, is levied to the room account of the registered guest; and
 - (5) the provider of the designated service does not provide any other kind of designated services.
- 31.4 In this Chapter:
- (1) 'registered guest' means an individual who engages sleeping accommodation at the short-term accommodation for travellers, the period of which includes the time at which the designated service is provided.

Reporting entities should note that in relation to activities they undertake to comply with the AML/CTF Act, they will have obligations under the Privacy Act 1988,

including the requirement to comply with the Australian Privacy Principles, even if they would otherwise be exempt from the Privacy Act. For further information about these obligations, please go to <http://www.oaic.gov.au> or call 1300 363 992.

Exposure Draft

CHAPTER 42 Commodity warehousing of grain

42.1 These Anti-Money Laundering and Counter-Terrorism Financing Rules (Rules) are made under section 229 of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act) for subsection 247(3) of that Act.

42.2 The AML/CTF Act does not apply to a designated service that:

- (1) is of a kind described in item 6 of table 1 in subsection 6(2) of the AML/CTF Act; and
 - (a) is provided to a customer who is a grain grower who delivers grain into a grain pool; and
 - (b) the maximum loan amount is determined by the value of the grain at the time the customer delivers it into the grain pool; and
 - (c) the loan amount does not exceed the value of the grain at the time the customer delivers it into the grain pool; and
 - (d) loan repayments made by the customer are met from the grain pool payments made from the grain pool to which the grain has been delivered; or
- (2) is of a kind described in item 7 of table 1 in subsection 6(2) of the AML/CTF Act; and
 - (a) the transactions are conducted by the customer in relation to a loan that is covered by sub-paragraph 42.2(1).

42.3 In this Chapter:

- (1) 'grain' means grains, oil seeds and pulses of all kinds, qualities and varieties including wheat, barley, oats, sorghum, maize and rice;
- (2) 'grain pool' means a grouping of grain into a pool based on classification for the purposes of sale. After all of the grain in a pool from a particular harvest has been sold and all distributions have been paid, that pool ceases to exist.

Reporting entities should note that in relation to activities they undertake to comply with the AML/CTF Act, they will have obligations under the Privacy Act 1988,

including the requirement to comply with the Australian Privacy Principles, even if they would otherwise be exempt from the Privacy Act. For further information about these obligations, please go to <http://www.oaic.gov.au> or call 1300 363 992.

Exposure Draft

CHAPTER 43 Friendly Society closed funds

- 43.1 These Anti-Money Laundering and Counter-Terrorism Financing Rules (Rules) are made under section 229 for subsection 247(4) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act).
- 43.2 Subject to paragraph 43.3, the AML/CTF Act does not apply to a designated service that is of a kind described in:
- (1) item 37 of table 1 in subsection 6(2); or
 - (2) item 38 of table 1 in subsection 6(2); or
 - (3) item 39 of table 1 in subsection 6(2).
- 43.3 The exemption in paragraph 43.2 applies if:
- (1) the person providing the designated service is a friendly society; and
 - (2) the designated service is provided in relation to an approved benefit fund and the approved benefit fund rules state that the approved benefit fund:
 - (a) is closed to new members; and
 - (b) new policies cannot be written to the approved benefit fund.
- 43.4 In this Chapter:
- (1) ‘approved benefit fund’ has the meaning given by section 16B of the *Life Insurance Act 1995 (Cth)*;
 - (2) ‘approved benefit fund rules’ has the meaning given by section 16B of the *Life Insurance Act 1995 (Cth)*;
 - (3) ‘friendly society’ has the meaning given by section 16C of the *Life Insurance Act 1995 (Cth)*.

Reporting entities should note that in relation to activities they undertake to comply with the AML/CTF Act, they will have obligations under the Privacy Act 1988, including the requirement to comply with the Australian Privacy Principles, even if they would otherwise be exempt from the Privacy Act. For further information about these obligations, please go to <http://www.oaic.gov.au> or call 1300 363 992.

CHAPTER 45 Debt Collection

- 45.1 These Anti-Money Laundering and Counter-Terrorism Financing Rules (Rules) are made under section 229 for subsection 247(4) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act).
- 45.2 For the purposes of subsection 247(4) of the AML/CTF Act, the following provisions of the AML/CTF Act do not apply to a designated service covered by item 6, 7 or 8 of table 1 in subsection 6(2) of the AML/CTF Act in circumstances where the service is provided by a person in the capacity of a debt collector:
- (1) Division 2 of Part 1A;
 - (2) Divisions 2 to 4 of Part 2;
 - (3) section 43;
 - (4) section 111.
- 45.4 In this Chapter:
- (1) 'debt' means an amount of money owed, including an alleged debt, where:
 - (a) the customer is in default under the terms and conditions of the account; or
 - (b) the customer is in default under the terms and conditions of the account and the provider of money (account provider) has declined the provision of further credit to the customer under the account; or
 - (c) the account provider has terminated, cancelled, written off or charged off debt, by reason of the customer's default or continuing default in repaying the money;
 - (2) 'alleged debt' means a debt where the debt collector has reasonable grounds for believing that the debt remains due, payable and owing by the debtor;
 - (3) 'debt collector' means a person who collects debt in the course of carrying on a business of collecting debt.

Reporting entities should note that in relation to activities they undertake to comply with the AML/CTF Act, they will have obligations under the Privacy Act 1988,

including the requirement to comply with the Australian Privacy Principles, even if they would otherwise be exempt from the Privacy Act. For further information about these obligations, please go to <http://www.oaic.gov.au> or call 1300 363 992.

Exposure Draft

CHAPTER 47 Risk-only life policy interests in a superannuation fund

- 47.1 These Anti-Money Laundering and Counter-Terrorism Financing Rules (Rules) are made under section 229 for subsection 247(3) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act).
- 47.2 Subject to paragraph 47.3, the AML/CTF Act does not apply to a designated service that:
- (1) is of a kind described in item 42(a) of table 1 in subsection 6(2) of the AML/CTF Act; or
 - (2) is of a kind described in item 43(a) of table 1 in subsection 6(2) of the AML/CTF Act.
- 47.3 The exemption in paragraph 47.2 applies only if:
- (1) the provision of the designated service relates to an actual or potential interest in, or entitlement under, a Risk-only life policy of a member of a superannuation fund (“Risk-only life policy interest”) (regardless of whether the member has any other interests, benefits, entitlements, balances or accounts in the superannuation fund) where the Risk-only life policy:
 - (a) has been acquired by the trustee of the superannuation fund from a life insurer on behalf of the member of the superannuation fund; and
 - (b) is held by the trustee of the superannuation fund as the policy holder; and
 - (2) the Risk-only life policy interest of the member referred to in subparagraph 47.3(1) does not include an investment component or an accumulated balance or account; and
 - (3) in respect to a designated service which falls within subparagraph 47.2(2) of these Rules, on the occurrence of an event specified in the Risk-only life policy, the trustee of the superannuation fund cashes out the whole or part of the Risk-only life policy interest referred to in subparagraph 47.3(1) in relation to that occurrence to the member of the superannuation fund (regardless of whether or not the trustee of the superannuation fund exercises its discretion to cash out other interests, benefits, entitlements, balances or accounts the member may have in the superannuation fund).

47.4 In this Chapter:

- (1) 'member' has the same meaning as the customer of the designated service in items 42 or 43 (as applicable) of table 1 in subsection 6(2) of the AML/CTF Act;
- (2) 'Risk-only life policy' is a life policy which falls outside the definition of 'life policy' in section 5 of the AML/CTF Act and, in particular, is a life policy in respect of which:
 - (a) a single lump sum amount is, or instalment amounts are, payable to the trustee of the superannuation fund as policy holder, on the occurrence of an event specified in the policy relating to the death or disability of the member of the superannuation fund, and
 - (b) there is no prescribed minimum surrender value (other than that which may be provided for in the policy documentation and promotional material) or no investment component.

For the purposes of this definition, the question of whether a policy has a prescribed minimum surrender value is to be determined in accordance with the prudential standards made under section 230A of the *Life Insurance Act 1995* as in force from time to time.

Reporting entities should note that in relation to activities they undertake to comply with the AML/CTF Act, they will have obligations under the Privacy Act 1988, including the requirement to comply with the Australian Privacy Principles, even if they would otherwise be exempt from the Privacy Act. For further information about these obligations, please go to <http://www.oaic.gov.au> or call 1300 363 992.

CHAPTER 48 Exemption of salary packaging administration services from the AML/CTF Act

- 48.1 These Anti-Money Laundering and Counter-Terrorism Financing Rules (Rules) are made under section 229 for subsection 247(3) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act).
- 48.2 Subject to paragraph 48.3, the AML/CTF Act does not apply to a designated service that:
- (1) is of a kind described in items 6, 7 and 48 of table 1 in subsection 6(2) of the AML/CTF Act.
- 48.3 The exemption in paragraph 48.2 only applies if the reporting entity is carrying on a business of providing administrative services relevant to salary packaging for an employer client.
- 48.4 In this Chapter:
- (2) ‘salary packaging’ refers to an arrangement between an employer and an employee, whereby the employee agrees to forgo part of their future entitlement to salary or wages in return for the employer providing them with benefits of a similar cost.

Reporting entities should note that in relation to activities they undertake to comply with the AML/CTF Act, they will have obligations under the Privacy Act 1988, including the requirement to comply with the Australian Privacy Principles, even if they would otherwise be exempt from the Privacy Act. For further information about these obligations, please go to <http://www.oaic.gov.au> or call 1300 363 992.

CHAPTER 49 International Uniform Give-Up Agreements

- 49.1 These Anti-Money Laundering and Counter-Terrorism Financing Rules (Rules) are made under section 229 for subsection 39(4) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act).
- 49.2 Division 2 of Part 2 of the AML/CTF Act does not apply to a designated service that:
- (1) is of a kind described in item 33 of table 1 in subsection 6(2) of the AML/CTF Act; and
 - (2) is provided by the reporting entity to a customer in the circumstances specified in paragraph 49.3.
- 49.3 The specified circumstances for the purposes of paragraph 49.2 are that the reporting entity:
- (1) provides the designated service to the customer pursuant to the terms and conditions of an International Uniform Give-Up Agreement;
 - (2) is admitted as a Participant under the Operating Rules of a Licensed Market;
 - (3) does not receive, hold or transfer money or property of the customer (other than applicable fees to the reporting entity) in connection with the provision of the designated service; and
 - (4) the person to whom the reporting entity refers a transaction or transactions for clearing under the International Uniform Give-Up Agreement is:
 - (a) admitted as a Participant under the Operating Rules of a Licensed CS facility; or
 - (b) regulated by anti-money laundering and counter-terrorism financing laws in, or comparable to, those in Australia.
- 49.4 In this Chapter:
- (1) 'Participant' has the same meaning as in the *Corporations Act 2001*;

-
- (2) 'Licensed Market' has the same meaning as in the *Corporations Act 2001*;
 - (3) 'Licensed CS facility' has the same meaning as in the *Corporations Act 2001*;
 - (4) 'International Uniform Give-Up Agreement' means a contract based on the terms and conditions in the template agreement developed by the US Futures Industry Association (FIA), the Futures and Options Association (FOA) and the London International Financial Futures and Options Exchange (LIFFE) pursuant to which a person (the customer) instructs the reporting entity to execute an order or orders, but settlement or clearance of which is or are conducted by another entity to whom the reporting entity 'gives up' or otherwise refers the order or orders.

Reporting entities should note that in relation to activities they undertake to comply with the AML/CTF Act, they will have obligations under the Privacy Act 1988, including the requirement to comply with the Australian Privacy Principles, even if they would otherwise be exempt from the Privacy Act. For further information about these obligations, please go to <http://www.oaic.gov.au> or call 1300 363 992.

CHAPTER 67 Warrants

- 67.1 These Anti-Money Laundering and Counter-Terrorism Financing Rules (Rules) are made under section 229 for subsection 39(4) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act).
- 67.2 Section 28 of the AML/CTF Act does not apply to a warrant issuer providing a designated service in relation to a warrant that is of a kind described in item 46 of table 1 in subsection 6(2) of the AML/CTF Act in the circumstances specified in subparagraph 67.7(1).
- 67.3 Section 28 of the AML/CTF Act does not apply to a warrant issuer providing a designated service in relation to a warrant that is of a kind described in item 46 of table 1 in subsection 6(2) of the AML/CTF Act in the circumstances specified in subparagraph 67.7(2).
- 67.4 Section 28 of the AML/CTF Act does not apply to a warrant issuer providing a designated service in relation to a warrant that:
- (1) is of a kind described in item 35 of table 1 in subsection 6(2) of the AML/CTF Act; or
 - (2) is of a kind described in item 46 of table 1 in subsection 6(2) of the AML/CTF Act
- in the circumstances specified in subparagraph 67.7(3).
- 67.5 Section 28 of the AML/CTF Act does not apply to a warrant issuer providing a designated service that relates to a warrant that is of a kind described in item 46 of table 1 in subsection 6(2) of the AML/CTF Act in the circumstances specified in subparagraph 67.7(4).
- 67.6 Section 28 of the AML/CTF Act does not apply to a warrant issuer providing a designated service that relates to a warrant that:
- (1) is of a kind described in item 35 of table 1 in subsection 6(2) of the AML/CTF Act; or
 - (2) is of a kind described in item 46 of table 1 in subsection 6(2) of the AML/CTF Act;
- in the circumstances specified in subparagraph 67.7(5).
- 67.7 The specified circumstances for the purposes of paragraphs 67.2, 67.3, 67.4, 67.5 and 67.6 are that the designated service is provided by a warrant issuer in relation to a warrant; and

-
- (1) a customer acquires a warrant on-market or off-market through the completion of a transfer; or
 - (2) after the circumstances in subparagraph 67.7(1) occur, as a result of a corporate action in relation to the underlying asset, the warrant issuer sells the underlying asset; or
 - (3) after the circumstances in subparagraph 67.7(1) occur, as a result of a corporate action in relation to the underlying asset, the warrant issuer issues a new warrant to the warrant holder; or
 - (4) after the circumstances in subparagraph 67.7(1) occur, the service is provided as a result of the roll-over or re-set of a warrant, when the warrant issuer sells the underlying asset; or
 - (5) after the circumstances in subparagraph 67.7(1) occur, the service is provided as a result of the expiry of a warrant, when the warrant issuer issues a new warrant to the warrant holder.

67.8 In this Chapter:

- (1) ‘corporate action’ includes an assignment, conveyance, sale, or transfer of the whole or part of a business in relation to the underlying asset;
- (2) ‘off-market’ means where customers purchase warrants on their own behalf, rather than from warrant issuers, outside a prescribed financial market;
- (3) ‘prescribed financial market’ has the meaning given by section 9 of the *Corporations Act 2001*;
- (4) ‘warrant’ has the meaning set out in Regulation 1.0.02 of the *Corporations Regulations 2001*, when issued by a bank, government or other institution;
- (5) ‘warrant holder’ means the customer of the warrant issuer;
- (6) ‘warrant issuer’ means a person approved by a prescribed financial market to issue warrants.

Reporting entities should note that in relation to activities they undertake to comply with the AML/CTF Act, they will have obligations under the Privacy Act 1988, including the requirement to comply with the Australian Privacy Principles, even if they would otherwise be exempt from the Privacy Act. For further information about these obligations, please go to <http://www.oaic.gov.au> or call 1300 363 992.

Notes to the *Anti-Money Laundering and Counter-Terrorism Financing (Class Exemptions and Other Matters) Rules 2007*

Note 1

The *Anti-Money Laundering and Counter-Terrorism Financing (Class Exemptions and Other Matters) Rules 2007* (in force under section 229 of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*) as shown in this compilation is amended as indicated in the Tables below.

Table of Instruments

Title	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Instrument 2007 (No. 1)</i>	13 Apr 2007 (see F2007L01000)	Ss. 1–3: 14 Apr 2007 Schedule 1: 12 June 2007 Schedule 2: 12 Dec 2007	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2007 (No. 1)</i>	28 June 2007 (see F2007L01959)	29 June 2007	—
as amended by <i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2007 (No. 2)</i>	24 July 2007 (see F2007L02318)	25 July 2007	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2007 (No. 3)</i>	26 Oct 2007 (see F2007L04255)	27 Oct 2007	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2007 (No. 4)</i>	18 Dec 2007 (see F2007L04878)	Schedule 1: 12 Dec 2007 Schedule 2: 12 Dec 2008	—
as amended by <i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2008 (No. 7)</i>	10 Dec 2008 (see F2008L04629)	11 Dec 2008	—

Table of Instruments

Title	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2007 (No. 5)</i>	20 Dec 2007 (see F2007L04925)	Ss. 1–3 and Schedule 1: 21 Dec 2007 Schedule 2: 12 Dec 2008 (see s. 2 (b))	Sch. 3 (as rep. by 2010 (No. 3))
as amended by			
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2008 (No. 1)</i>	29 Apr 2008 (see F2008L01170)	30 Apr 2008	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2008 (No. 7)</i>	10 Dec 2008 (see F2008L04629)	11 Dec 2008	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2009 (No. 3)</i>	9 Jul 2009 (see F2009L02693)	10 Jul 2009	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2010 (No. 3)</i>	20 Dec 2010 (see F2010L03318)	Ss. 1-3 and Schedule 2: 21 Dec 2010	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2008 (No. 1)</i>	29 Apr 2008 (see F2008L01170)	30 Apr 2008	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2008 (No. 2)</i>	2 May 2008 (see F2008L01285)	3 May 2008	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2008 (No. 3)</i>	15 May 2008 (see F2008L01373)	16 May 2008	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2008 (No. 4)</i>	12 Aug 2008 (see F2008L03047)	13 Aug 2008	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2008 (No. 5)</i>	12 Aug 2008 (see F2008L03048)	13 Aug 2008	—

Table of Instruments

Title	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2008 (No. 6)</i>	9 Oct 2008 (see F2008L03746)	10 Oct 2008	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2008 (No. 7)</i>	10 Dec 2008 (see F2008L04629)	11 Dec 2008	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2008 (No. 8)</i>	6 Jan 2009 (see F2009L00007)	7 Jan 2009	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2009 (No. 1)</i>	24 Mar 2009 (see F2009L01136)	25 Mar 2009	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2009 (No. 2)</i>	30 Mar 2009 (see F2009L01198)	31 Mar 2009	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2009 (No. 3)</i>	9 Jul 2009 (see F2009L02693)	10 Jul 2009	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2009 (No. 4)</i>	24 Aug 2009 (see F2009L03234)	25 Aug 2009	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2009 (No. 5)</i>	1 Dec 2009 (see F2009L04377)	2 Dec 2009	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2010 (No. 1)</i>	15 April 2010 (see F2010L00964)	16 April 2010	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2010 (No. 2)</i>	15 Sept 2010 (see F2010L02476)	16 Sept 2010	—

Table of Instruments

Title	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2010 (No. 3)</i>	20 Dec 2010 (see F2010L03318)	Ss. 1-3 and Schedules 1 and 2: 21 Dec 2010 Schedule 3: 1 October 2011	—
as amended by			
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2011 (No. 6)</i>	27 Sept 2011 (see F2011L01977)	28 Sept 2011	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2011 (No. 1)</i>	4 March 2011 (see F2011L00378)	5 March 2011	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2011 (No. 2)</i>	20 May 2011 (see F2011L00832)	21 May 2011	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2011 (No. 3)</i>	25 May 2011 (see F2011L00861)	26 May 2011	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2011 (No. 4)</i>	27 June 2011 (see F2011L01266)	28 June 2011	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2011 (No. 5)</i>	22 Aug 2011 (see F2011L01705)	23 Aug 2011	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2011 (No. 6)</i>	27 Sept 2011 (see F2011L01977)	28 Sept 2011	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2011 (No. 7)</i>	28 Oct 2011 (see F2011L02155)	1 Nov 2011	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2011 (No. 8)</i>	21 Dec 2011 (see F2011L02774)	Schedule 1: 22 Dec 2011 Schedule 2: 27 Dec 2011	—

Table of Instruments

Title	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2012 (No. 1)</i>	31 Jan 2012 (see F2012L00111)	1 Feb 2012	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2012 (No. 2)</i>	8 June 2012 (see F2012L01172)	9 June 2012	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2012 (No. 3)</i>	27 Jun 2012 (see F2012L01352)	28 June 2012	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2012 (No. 4)</i>	6 Sept 2012 (see F2012L01838)	7 Sept 2012	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2012 (No. 5)</i>	20 Dec 2012 (see F2012L02563)	21 Dec 2012	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2013 (No. 1)</i>	15 April 2013 (see F2013L00655)	16 April 2013	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2013 (No. 2)</i>	23 September 2013 (see F2013L01734)	24 September 2013	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2014 (No. 1)</i>	24 January 2014 (see F2014L00086)	25 January 2014	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2014 (No. 2)</i>	30 January 2014 (see F2014L00110)	31 January 2014	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2014 (No. 3)</i>	19 May 2014 (see F2014L00563)	Schedule 1: 20 May 2014 Schedule 2: 1 June 2014	—

Table of Instruments

Title	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2014 (No. 4)</i>	7 August 2014 (see F2014L01076)	8 August 2014	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2014 (No. 5)</i>	23 December 2014 (see F2014L01796)	24 December 2014	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2014 (No. 6)</i>	23 December 2014 (see F2014L01797)	1 January 2015	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2015 (No. 1)</i>	22 January 2015 (see F2015L00067)	23 January 2015	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2015 (No. 2)</i>	11 November 2015 (see F2015L01775)	12 November 2015	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2016 (No. 1)</i>	15 September 2016 (see F2016L01444)	16 September 2016	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2016 (No. 2)</i>	6 December 2016 (see F2016L01867)	7 December 2016	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2017 (No. 1)</i>	19 April 2017 (see F2017L00451)	20 April 2017	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2017 (No. 2)</i>	22 June 2017 (see F2017L00733)	23 June 2017	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2017 (No. 3)</i>	3 July 2017 (see F2017L00870)	4 July 2017	—

Table of Instruments

Title	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2017 (No. 4)</i>	20 December 2017 (see F2017L01678)	21 December 2017	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2018 (No. 1)</i>	11 January 2018 (see F2018L00037)	12 January 2018	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2018 (No. 2)</i>	29 March 2018 (see F2018L00423)	3 April 2018	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2018 (No. 3)</i>	20 December 2018 (see F2018L01813)	21 December 2018	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2019 (No. 1)</i>	25 February 2019 (see F2019L00182)	26 February 2019	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2019 (No. 2)</i>	3 April 2019 (see F2019L00518)	1 May 2019	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2019 (No. 3)</i>	13 December 2019 (see F2019L01611)	14 December 2019	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2020 (No. 1)</i>	15 April 2020 (see F2020L00427)	16 April 2020	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2020 (No.2)</i>	7 May 2020 (see F2020L00559)	8 May 2020	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2020 (No.3)</i>	22 May 2020 (see F2020L00602)	23 May 2020	—

Table of Instruments

Title	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2020 (No. 4)</i>	14 September 2020 (see F2020L01161)	15 September 2020	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2020 (No. 5)</i>	24 November 2020 (see F2020L01470)	25 November 2020	Sch 1 (item 2)
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2021 (No. 1)</i>	16 June 2021 (see F2021L00759)	17 June 2021	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2021 (No. 2)</i>	30 November 2021 (see F2021L01658)	1 December 2021	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2021 (No. 3)</i>	13 December 2021 (see F2021L01759)	14 December 2021	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment Instrument 2022 (No. 1)</i>	29 April 2022 (see F2022L00644)	Schedule 1: 2 May 2022 Schedule 2: 30 April 2022	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment (Chapter 78 Amendments) Instrument 2022</i>	15 June 2022 (see F2022L00778)	16 June 2022	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment (Cross-border Movements) Instrument 2022</i>	15 June 2022 (see F2022L00779)	17 June 2022	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment (Chapter 16 Amendments) Instrument 2022</i>	15 September 2022 (see F2022L01211)	16 September 2022	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment (Chapters 21, 51 and 78) Instrument 2023</i>	4 July 2023 (see F2023L00958)	5 July 2023	— —

Table of Instruments

Title	Date of FRL registration	Date of commencement	Application, saving or transitional provisions
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules (Online Gambling Services) Amendment Instrument 2023</i>	28 September 2023 (see F2023L01295)	29 September 2023	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment (Chapter 21 Amendments) Instrument 2024</i>	22 January 2024 (see F2024L00088)	23 January 2024	—
<i>Anti-Money Laundering and Counter-Terrorism Financing Rules Amendment (Chapter 1 Amendments) Instrument 2024</i>	25 January 2024 (see F2024L00104)	26 January 2024 [*]	—
<i>Anti-Money Laundering and Counter Terrorism Financing Rules Amendment (Nominee of Custodian) Instrument 2024</i>	21 November 2024 (see F2024L01467)	22 November 2024	

Table of Amendments

ad. = added or inserted am. = amended rep. = repealed rs. = repealed and substituted underlining = to be commenced

Provision affected	How affected
S. 2.....	rs. Amdt, 2008 (No. 1)
Note to s. 2.....	rep. Amdt, 2008 (No. 7)
S. 3.....	rep. Amdt, 2008 (No. 1)
Schedule 1	
Heading to Schedule 1.....	rep. Amdt, 2007 (No. 5)
Schedule 2	
Heading to Schedule 2.....	rep. Amdt, 2007 (No. 5)
Schedule 2.....	am. Amdt, 2007 (No. 5) (as am. by Amdt, 2008 (No. 1))
Schedule 3	
Heading to Schedule 3.....	rep. Amdt, 2007 (No. 5)
Schedule 3.....	am. Amdt, 2007 (No. 5) (as am. by Amdt, 2008 (No. 1))
Chapter 1	
Chapter 1	am. Amdt, 2009 (No. 3) and (No. 4) am. Amdt, 2011 (No. 1) am. Amdt, 2012 (No. 1) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2018 (No.1) am. Amdt, 2019 (No. 2) am. F2024L00104
Chapter 2	
Chapter 2	rs. Amdt, 2009 (No. 3) am. Amdt, 2010 (No. 1) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 3	
Chapter 3	am. Amdt, 2008 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2018 (No. 2) rs. Amdt, 2021 (No. 1)
Chapter 4	
Chapter 4	am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rs. Amdt, 2014 (No. 3) am. Amdt, 2015 (No. 1) am. Amdt, 2015 (No. 2) rs. Amdt, 2016 (No. 1) am. Amdt, 2018 (No.1) am. Amdt, 2018 (No. 2) am. Amdt, 2019 (No. 2) am. Amdt, 2020 (No. 2) am. Amdt, 2020 (No. 3)

ad. = added or inserted am. = amended rep. = repealed rs. = repealed and substituted underlining = to be commenced

Provision affected	How affected
	am. F2024L01467
Chapter 5	
Chapter 5	am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rs. Amdt, 2014 (No. 3)
Chapter 6	
Chapter 6	am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rs. Amdt, 2021 (No. 1)
Chapter 7	
Chapter 7	am. Amdt, 2007 (No. 4) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rs. Amdt, 2021 (No. 1)
Chapter 8	
Chapter 8	am. Amdt, 2012 (No. 1) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2014 (No. 4) am. Amdt, 2018 (No.1)
Chapter 9	
Chapter 9	am. Amdt, 2012 (No. 1) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2014 (No. 4) am. Amdt, 2018 (No.1)
Chapter 10	
Chapter 10	am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2018 (No. 2) ed.C54 am. Amdt, 2019 (No. 1) rs. Amdt, 2021 (No. 1) am. Amdt, 2022 (No. 1) am. F2023L01295
Chapter 11	
Chapter 11	ad. Amdt, 2007 (No. 1) am. Amdt, 2008 (No. 8) am. Amdt, 2009 (No. 5) am. Amdt, 2010 (No. 3) am. Amdt, 2011 (No. 8) am. Amdt, 2012 (No. 5) rs. Amdt, 2013 (No. 2) am. Amdt, 2014 (No. 3) rs. Amdt, 2014 (No. 5) rs. Amdt, 2015 (No. 2) am. Amdt, 2016 (No. 2) rs. Amdt, 2018 (No.3) rs. Amdt, 2019 (No. 3)

ad. = added or inserted am. = amended rep. = repealed rs. = repealed and substituted underlining = to be commenced

Provision affected	How affected
Chapter 12	
Chapter 12	ad. Amdt, 2007 (No. 1) (as am. by Amdt, 2007 (No. 2)) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2018 (No. 2)
Chapter 13	
Chapter 13	ad. Amdt, 2007 (No. 1) (as am. by Amdt, 2007 (No. 2)) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 14	
Chapter 14	ad. Amdt, 2007 (No. 3) am. Amdt, 2008 (No. 6) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 15	
Heading to Chapter 15	am. Amdt, 2007 (No. 5)
Chapter 15	ad. Amdt, 2007 (No. 4) (as am. by Amdt, 2008 (No. 7)) am. Amdt, 2011 (No. 5) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rs. Amdt, 2014 (No. 3) am. Amdt, 2019 (No. 2) am. F2024L01467
Chapter 16	
Heading to Chapter 16	am. Amdt, 2007 (No. 5)
Chapter 16	ad. Amdt, 2007 (No. 4) (as am. by Amdt, 2008 (No. 7)) am. Amdt, 2011 (No. 2) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. F2022L01211
Chapter 17	
Heading to Chapter 17	am. Amdt, 2007 (No. 5)
Chapter 17	ad. Amdt, 2007 (No. 4) (as am. by Amdt, 2008 (No. 7)) am. Amdt, 2011 (No. 1) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 18	
Heading to Chapter 18	am. Amdt, 2007 (No. 5)
Chapter 18	ad. Amdt, 2007 (No. 4) (as am. by Amdt, 2008 (No. 7)) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2018 (No. 2) ed. C54
Chapter 19	
Chapter 19	ad. Amdt, 2007 (No. 5) (as am. by Amdt, 2008 (No. 1), 2008 (No. 7) and 2009 (No. 3)) am. Amdt, 2009 (No. 3) rs. Amdt, 2010 (No. 3) (as am. by Amdt, 2011 (No. 6))

ad. = added or inserted am. = amended rep. = repealed rs. = repealed and substituted underlining = to be commenced

Provision affected	How affected
	am. Amdt, 2011 (No. 8) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2018 (No. 2)
Chapter 20	
Chapter 20	ad. Amdt, 2008 (No. 1) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 21	
Chapter 21	ad. Amdt, 2008 (No. 2) am. Amdt, 2012 (No. 1) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 2) am. Amdt, 2014 (No. 3) am. Amdt, 2021 (No. 2) am. F2023L00958 am. F2024L00088
Chapter 22	
Chapter 22	ad. Amdt, 2008 (No. 3) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rs. Amdt, 2017 (No. 2)
Chapter 23	
Chapter 23	ad. Amdt, 2008 (No. 5)
Heading to Chapter 23.....	rs. Amdt, 2011 (No. 8)
Chapter 23	am. Amdt, 2011 (No. 8) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 24	
Chapter 24	ad. Amdt, 2008 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. F2022L00779
Chapter 25	
Chapter 25	ad. Amdt, 2008 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rep. F2022L00779
Chapter 26	
Chapter 26	ad. Amdt, 2008 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rep. F2022L00779
Chapter 27	
Chapter 27	ad. Amdt, 2008 (No. 7) am. Amdt, 2012 (No. 4) am. Amdt, 2013 (No. 1)

ad. = added or inserted am. = amended rep. = repealed rs. = repealed and substituted underlining = to be commenced

Provision affected	How affected
	am. Amdt, 2014 (No. 3)
	am. Amdt, 2014 (No. 4)
Chapter 28	
Chapter 28	ad. Amdt, 2009 (No. 1)
	am. Amdt, 2012 (No. 1)
	am. Amdt, 2013 (No. 1)
	am. Amdt, 2014 (No. 3)
Chapter 29	
Chapter 29	ad. Amdt, 2009 (No. 2)
	rs. Amdt, 2013 (No. 1)
	am. Amdt, 2014 (No. 3)
Chapter 30	
Chapter 30	ad. Amdt, 2009 (No. 2)
	am. Amdt, 2013 (No. 1)
	am. Amdt, 2014 (No. 3)
	rs. Amdt, 2014 (No. 3)
	rs. Amdt, 2018 (No.1)
Chapter 31	
Chapter 31	ad. Amdt, 2009 (No. 2)
	am. Amdt, 2013 (No. 1)
	am. Amdt, 2014 (No. 3)
Chapter 32	
Chapter 32	ad. Amdt, 2009 (No. 2)
	am. Amdt, 2013 (No. 1)
	am. Amdt, 2014 (No. 3)
Chapter 33	
Chapter 33	ad. Amdt, 2009 (No. 2)
	am. Amdt, 2013 (No. 1)
	am. Amdt, 2014 (No. 3)
Chapter 34	
Chapter 34	ad. Amdt, 2009 (No. 2)
	am. Amdt, 2011 (No. 8)
	am. Amdt, 2013 (No. 1)
	am. Amdt, 2014 (No. 3)
	am. F2022L00779
Chapter 35	
Chapter 35	ad. Amdt, 2009 (No. 3)
	am. Amdt, 2013 (No. 1)
	am. Amdt, 2014 (No. 3)
Chapter 36	

ad. = added or inserted am. = amended rep. = repealed rs. = repealed and substituted underlining = to be commenced

Provision affected	How affected
Chapter 36	ad. Amdt, 2009 (No. 4) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rs. Amdt, 2018 (No.1)
Chapter 37	
Chapter 37	ad. Amdt, 2009 (No. 4) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2018 (No. 2)
Chapter 38	
Chapter 38	ad. Amdt, 2009 (No. 4) am. Amdt, 2013 (No. 1) rs. Amdt, 2013 (No. 2) am. Amdt, 2014 (No. 3) am. Amdt, 2016 (No. 1) am. Amdt, 2019 (No. 2)
Chapter 39	
Chapter 39	ad. Amdt, 2009 (No. 4) am. Amdt, 2011 (No. 4) am. Amdt, 2012 (No. 3) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 40	
Chapter 40	ad. Amdt, 2009 (No. 5) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 41	
Chapter 41	ad. Amdt, 2009 (No. 5) rs. Amdt, 2012 (No. 5) am. Amdt, 2014 (No. 3)
Chapter 42	
Chapter 42	ad. Amdt, 2009 (No. 5) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 43	
Chapter 43	ad. Amdt, 2009 (No. 5) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 44	

ad. = added or inserted am. = amended rep. = repealed rs. = repealed and substituted underlining = to be commenced

Provision affected	How affected
Chapter 44	ad. Amdt, 2010 (No. 1) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rep. Amdt, 2018 (No. 2)
Chapter 45	
Chapter 45	ad. Amdt, 2010 (No. 1) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2018 (No. 2)
Chapter 46	
Chapter 46	ad. Amdt, 2010 (No. 2) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 47	
Chapter 47	ad. Amdt, 2010 (No. 2) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 48	
Chapter 48	ad. Amdt, 2010 (No. 2) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rs. Amdt, 2021 (No. 3)
Chapter 49	
Chapter 49	ad. Amdt, 2011 (No. 1) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 50	
Chapter 50	ad. Amdt, 2011 (No. 1) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 51	
Chapter 51	ad. Amdt, 2011 (No. 2) am. Amdt, 2011 (No. 8) rs. Amdt, 2012 (No. 1) am. Amdt, 2012 (No. 4) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2017 (No. 3) am. Amdt, 2019 (No. 3) am. F2023L00958

ad. = added or inserted am. = amended rep. = repealed rs. = repealed and substituted underlining = to be commenced

Provision affected	How affected
Chapter 52	
Chapter 52	ad. Amdt, 2011 (No. 3) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 53	
Chapter 53	ad. Amdt, 2011 (No. 6) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) rep. Amdt, 2018 (No. 2)
Chapter 54	
Chapter 54	ad. Amdt, 2011 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 55	
Chapter 55	ad. Amdt, 2011 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 56	
Chapter 56	ad. Amdt, 2011 (No. 7) am. Amdt, 2012 (No. 2) am. Amdt, 2012 (No. 4) am. Amdt, 2013 (No. 1) am. Amdt, 2013 (No. 2) am. Amdt, 2014 (No. 3) am. Amdt, 2014 (No. 4) am. Amdt, 2016 (No. 1)
Chapter 57	
Chapter 57	ad. Amdt, 2011 (No. 7) am. Amdt, 2012 (No. 4) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2018 (No. 2) ed. C54
Chapter 58	
Chapter 58	ad. Amdt, 2011 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2014 (No. 4)
Chapter 59	

ad. = added or inserted am. = amended rep. = repealed rs. = repealed and substituted underlining = to be commenced

Provision affected	How affected
Chapter 59	ad. Amdt, 2011 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2014 (No. 4) rs. Amdt, 2017 (No. 1)
Chapter 60	
Chapter 60	ad. Amdt, 2011 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2014 (No. 4) am. Amdt, 2016 (No. 1)
Chapter 61	
Chapter 61	ad. Amdt, 2011 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 62	
Chapter 62	ad. Amdt, 2011 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 63	
Chapter 63	ad. Amdt, 2011 (No. 7) am. Amdt, 2012 (No. 2) am. Amdt, 2012 (No. 4) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2014 (No. 4) am. Amdt, 2015 (No. 1)
Chapter 64	
Chapter 64	ad. Amdt, 2011 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3)
Chapter 65	
Chapter 65	ad. Amdt, 2011 (No. 7) am. Amdt, 2013 (No. 1) am. Amdt, 2014 (No. 3) am. Amdt, 2014 (No. 4) am. Amdt, 2015 (No. 1)
Chapter 66	
Chapter 66	ad. Amdt, 2011 (No. 8)

ad. = added or inserted am. = amended rep. = repealed rs. = repealed and substituted underlining = to be commenced

Provision affected	How affected
	am. Amdt, 2013 (No. 1)
	am. Amdt, 2014 (No. 3)
Chapter 67	
Chapter 67	ad. Amdt, 2012 (No. 1)
	am. Amdt, 2013 (No. 1)
	am. Amdt, 2014 (No. 3)
Chapter 68	
Chapter 68	ad. Amdt, 2012 (No. 4)
	am. Amdt, 2013 (No. 1)
	am. Amdt, 2014 (No. 3)
Chapter 69	
Chapter 69	ad. Amdt, 2014 (No. 1)
	am. Amdt, 2014 (No. 3)
Chapter 70	
Chapter 70	ad. Amdt, 2014 (No. 4)
	am. Amdt. 2018 (No. 2)
	ed. C54
Chapter 71	
Chapter 71	ad. Amdt, 2014 (No. 6)
Chapter 72	
Chapter 72	ad. Amdt, 2016 (No. 2)
Chapter 73	
Chapter 73	ad. Amdt, 2016 (No. 2)
Chapter 74	
Chapter 74	ad. Amdt, 2017 (No. 1)
	am. Amdt, 2018 (No. 2)
Chapter 75	
Chapter 75	ad. Amdt, 2017 (No. 4)
Chapter 76	
Chapter 76	ad. Amdt, 2018 (No. 2)
Chapter 77	
Chapter 77	ad. Amdt, 2020 (No. 1)
	am. Amdt, 2020 (No. 4)
Chapter 78	
Chapter 78	ad. Amdt, 2020 (No. 5)
	am. F2022L0078
	am. F2023L00958
Chapter 79	

ad. = added or inserted am. = amended rep. = repealed rs. = repealed and substituted underlining = to be commenced

Provision affected	How affected
Chapter 79	ad. Amdt, 2021 (No. 3)
Chapter 80	
Chapter 80	ad. Amdt, 2021 (No. 3)
Chapter 81	
Chapter 81	ad. Amdt, 2022 (No. 1)
Chapter 82	
Chapter 82	ad. F2023L01295; rep F2023L01295

Exposure Draft