From: Kathryn Haigh

Sent: Thursday, 18 July 2019 5:36 PM

s 22 s 22 To: Cc:

RE: For consideration and approval - Engagement of Ric Walters in connection with AAT Subject:

matter [SEC=OFFICIAL]

Security Classification:

OFFICIAL

OFFICIAL

Thanks

Kathryn Haigh | National Manager, Legal & Policy | AUSTRAC | S 22 to the Control of Ficial Policy | AUSTRAC | S 22 Sent: Wednesday, 17 July 2019 5:24 PM Production of Ficial Policy | Cr. S 22 Subject: For consideration and an interest of the Control of Ficial Policy | Austract | S 22 to the Control of Ficial Policy | Austract | S 22 to the Control of Ficial Policy | Austract | S 22 to the Control of Ficial Policy | Austract | S 22 to the Control of Ficial Policy | Austract | S 22 to the Control of Ficial Policy | Austract | S 22 to the Control of Ficial Policy | Austract | S 22 to the Control of Ficial Policy | Austract | S 22 to the Control of Ficial Policy | Austract | S 22 to the Control of Ficial Policy | Austract | S 22 to the Control of Ficial Policy | Austract | A To: Kathryn Haigh S 22

Cc: S 22

Subject: For consideration and approval - Engagement of Ric Walters in connection with AAT matter [SEC=OFFICIAL]

Hi Kathryn

Further to our conversation about the AAT industry contribution levy dispute yesterday, I have spoken to Ric Walters about the prospect of assisting AUSTRAC with the dispute. In summary:

Kind regards

s 22

s 22 | Senior Legal Officer Legal and Policy Branch

P:S 22 E:s 22

☞@AUSTRAC **W:** www.austrac.gov.au



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s 22

s 22 From:

Sent: Monday, 5 August 2019 9:10 AM ; Ben Skaines To:

RE: Spending proposal to procure Ric Walter's service as a consultant [SEC=OFFICIAL] Subject:

Security Classification:

OFFICIAL

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s 22

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OFFICIAL

From: Ben Skaines \$ 22

Sent: Monday, 5 August 2019 9:00 AM

To: S 22 Cc: S 22

Subject: RE: Spending proposal to procure Ric Walter's service as a consultant [SEC=OFFICIAL]

OFFICIAL

Hi s 22

My branch has such a small budget, and this was not planned at the budget development stage. However, given he is going to be providing expertise that was gained from working within the Finance area it does make sense. The cost code is \$ 22.

Ben

Ben Skaines | National Manager, Strategic Planning, Finance and Performance | AUSTRAC | \$ 22

OFFICIAL

From: S 22

Sent: Thursday, 1 August 2019 9:26 AM

To: Ben Skaines S 22

Cc: S 22

Subject: Spending proposal to procure Ric Walter's service as a consultant [SEC=OFFICIAL]

OFFICIAL

Dear Ben

As previously flagged with you, \$ 22 it would be very helpful to have Ric Walters available to assist with AUSTRAC's defence of the TNTC appeal in relation to the IC levy dispute, given his familiarity with the IC levy scheme and ability to give authoritative evidence.

We are in the process of undertaking the necessary procurement steps to bring Ric on board as a consultant for this purpose. I was wondering whether your area would pay for Ric's fees \$ 22

s 22

Please advise whether Finance would pay for procurement of Ric's service? If so please advise the cost centre code and \$ 22 will prepare the spending proposal for your approval. will prepare the spending proposal for your approval.

Kind regards

s 22

| Director Legal / Principal Legal Officer, Legal appropriate | S 22

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Low Value Spending Proposal - \$10,000 - \$80,000 (GST inclusive)

This Low Value Spending Proposal should be used for a procurement valued between \$10,000 and \$80,000 (GST inclusive).

This spending proposal is seeking the delegate's approval to engage Ric Walters to assist AUSTRAC with the conduct of the Administrative Appeals Tribunal proceeding The Northern Trust Company v the CEO, AUSTRAC.

Ben Skaines

Business Area	Finance	N. C.
Reference Number	AC1712	95/0
Contract Manager	s 22	

Proposal Details AUSTRAC is the respondent in the Administrative Appeals Provide appropriate background information that is necessary for the Relevant Delegate to review Tribunal matter 2019/2741 – The Northern Trust Company v the course of action you are recommending. For example, Chief Executive Officer, AUSTRAC. The question in this dispute, what is the business need and in summary, is whether AUSTRACossessed the Northern Trust why is the procurement required. Company's liability to pay the incostry contribution levy at an unfairly high amount. s 22 to be successful in this disput be necessary for to identify a witness who has expert to be successful in this dispute, it will Background Information knowledge of He industry contribution levy. s 22 Ric Walters, formerly AUSTRAC's Chief Finance Officer, does have the necessary knowledge and expertise to perform this role. s 22

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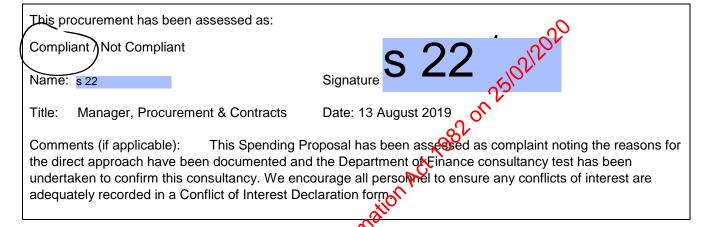
Recommendation

I recommend that you approve this spending proposal.

Recom	mending Officer	0.22
Name:	s 22	Signature: S 22
Title:	Senior Legal Officer	Business Area: Legal
Date:	15.08.2019	

AUSTRAC Procurement and Contracting Team

APCU has reviewed this spending proposal based on the information provided by the Business Area and has assessed it as either compliant or not compliant with the *Commonwealth Procurement Rules*.



Approval of Proposal to Spend Relevant Money

To check financial delegations please refer tothe AUSTRAC Financial Delegations

Approval of Proposal to Spend Relevant Money in accordance with the PGPA Act

- I, the undersigned, as Relevant Degregate for this proposal to spend relevant moneys, attest that:
- a) I am the appropriate delegate of the Chief Executive Officer of the Australian Transaction and Reporting Centre for the approvator such payments;
- b) I have made all reasonable enquiries to satisfy myself that there is sufficient appropriation to meet this commitment and that the expenditure is:
 - not inconsistent with the policies of the AUSTRAC and the Commonwealth; and
 - will be a 'proper use' of relevant money.
- c) The approval complies with the APS Code of Conduct, particularly in relation to avoiding conflicts of interest.

interest.				
☑ APPROVED				
☐ NOT APPROVED				
☐ FURTHER CLARIFICATION REQUIRED				
Signature	& · · · ·			
Relevant Delegate	Ben Skaines			
	National Manager, Strategic Planning, Finance and Performance			

15/8/2019

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