



Australian Government
Australian Transaction Reports
and Analysis Centre

Exemption 9 of 2016

Section 248 of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*

I, Bradley Brown, Acting National Manager, Strategic Intelligence and Policy Branch of the Australian Transaction Reports and Analysis Centre (**AUSTRAC**), pursuant to paragraph 248(1)(a) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act)*, hereby exempt Third Link Investment Managers Pty Limited (ABN 31 128 965 702) (**Third Link**) of Suite 1, Level 6, 6-10 O'Connell Street, Sydney NSW 2000 from the following specified provisions of the AML/CTF Act:

- a) Divisions 2 to 7 of Part 2 (other than section 39);
- b) Divisions 3 to 5 of Part 3 (other than section 44);
- c) Parts 3A, 5 and 7
- d) Part 10 (other than sections 107 and 108)

during any period in which Third Link satisfies all of the conditions specified in this instrument.

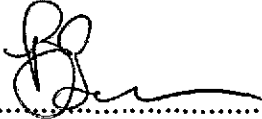
Conditions

The following conditions are specified pursuant to paragraph 248(2)(b) of the AML/CTF Act:

1. That Third Link:
 - a) only provides the 'Specified Designated Services' to Bennelong Funds Management Ltd (ABN 39 111 214 085) (**Bennelong**) in Bennelong's capacity as responsible entity (as defined in section 9 of the *Corporations Act 2001*) of any registered managed investment schemes; and
 - b) does not provide any designated services other than the Specified Designated Services.
2. That if an event occurs that may affect Third Link's compliance with this exemption, including the conditions, Third Link must notify the AUSTRAC CEO or delegate:
 - a) within 14 days of the event occurring; or

- b) within such other period as is authorised in writing by the AUSTRAC CEO or delegate:

otherwise, this exemption ceases to apply until Third Link notifies the AUSTRAC CEO or delegate of the event.



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Bradley Brown
Acting National Manager, Strategic Intelligence and Policy
Australian Transaction Reports and Analysis Centre

1 June2016

Interpretation

In this instrument:

Specified Designated Services means the designated services set out in:

- (a) Item 33 of table 1 of subsection 6(2) of the AML/CTF Act; or
- (b) Item 54 of table 1 of subsection 6(2) of the AML/CTF Act.

Important Notice to person granted this exemption

1. Any request for a change to this instrument must be submitted to the AUSTRAC CEO or approved delegate no later than 14 days before the date the change is requested to commence.
2. Pursuant to subsection 248(3) of the AML/CTF Act, the person granted the exemption must comply with the conditions set out in the instrument. Failure to comply with those conditions may result in:
 - the revocation of the exemption; and
 - the AUSTRAC CEO making an application to the Federal Court of Australia for a civil penalty order requiring the person to pay a pecuniary penalty in respect of such a breach.
3. It is an offence under sections 136 and 137 of the AML/CTF Act to provide false or misleading information or false or misleading documents. If any of the information submitted by the applicant or its representatives is found to be false or misleading, the exemption may be revoked, and action may be initiated against the applicant.
4. The person granted the exemption may request the AUSTRAC CEO to revoke or vary the exemption at any time.

