



## **Exemption 10 of 2016**

### **Section 41A of the *Financial Transaction Reports Act 1988***

I, Pavle Jevtovic APM, Chief Executive Officer, acting under subsection 41A(1) of the *Financial Transaction Reports Act 1988* (FTR Act), and subject to the conditions specified in this instrument exempt Man Investments Australia Limited (MIA) ABN 47 002 747 480 from the following specified provisions of the FTR Act:

- subsection 16(5A) and
- subsection 16(5AA)

during the relevant period within which MIA satisfies all the conditions specified in this instrument.

#### **Conditions**

1. This instrument only applies to communications relating to suspect transaction reports by MIA to individuals occupying the following positions within Man Group plc (the Relevant Individuals):
  - the Global Head of Legal and Compliance,
  - the Global Head of Compliance,
  - the Group Money Laundering Reporting Officer; and
  - the Deputy Group Money Laundering Reporting Officer of Man Group plcthat are related to the OM-IP companies set out at the Attachment.
2. MIA must maintain and provide, if required, to the AUSTRAC CEO, a record of all communications relating to suspect transaction reports provided to the Relevant Individuals.
3. If an event occurs that may affect MIA's compliance with this exemption, including the conditions, MIA must, in writing, notify the AUSTRAC CEO or delegate:
  - (a). within fourteen days of the event occurring; or
  - (b). within such other period as is authorised in writing by the AUSTRAC CEO or delegate;

otherwise, this exemption ceases to apply until MIA notifies the AUSTRAC CEO or delegate of the event.

**Interpretation**

In this instrument:

**relevant period** means the (date signed 2016) to (3 years later 2019).



.....  
**Paul Jevtovic APM**  
**Chief Executive Officer**  
**Australian Transaction Reports and Analysis Centre**

28/6/2016

**Important Notice to the person named in this instrument**

1. Under subsection 41A(3) of the FTR Act, a person granted an exemption subject to one or more conditions must comply with the conditions specified in the instrument. Failure to comply with subsection 41A(3) may result in any or all of the following:
  - the exemption ceasing to apply to the person during any period in which the person does not comply with the relevant conditions;
  - the exemption being revoked;
2. The person granted the exemption may request the AUSTRAC CEO to revoke or vary the exemption at any time.
3. Any request to vary this exemption must be submitted to the AUSTRAC CEO or approved delegate no later than 14 days before the date the change is requested to commence.

## ATTACHMENT

List of OM-IP companies to which this Exemption applies

1. Man Series 2 OM-IP 220 Ltd
2. Man OM-IP 320 Diversified Ltd
3. Man Series 3 OM-IP 220 Ltd
4. Man OM-IP Vision Ltd
5. Man Series 11 OM-IP 220 Ltd
6. Man OM-IP Strategic Ltd
7. Man OM-IP 140 Plus (AUD) Ltd
8. Man Series 2 OM-IP 150 Plus (NZ) Ltd
9. Man Series 4 OM-IP 220 Ltd
10. Man Series 2 OM-IP 140 Plus (AUD) Ltd
11. Man Series 12 OM-IP 220 Ltd
12. Man OM-IP 150 Plus (NZ) Ltd
13. Man OM-IP Strategic Series 2 Ltd
14. Man OM-IP 220 2008 Ltd
15. Man OM-IP AHL Ltd
16. Man OM-IP 2AHL Ltd
17. Man OM-IP 3AHL Ltd
18. Man OM-IP Eclipse 2010 Ltd
19. Man OM-IP Global Ltd
20. Man Series 6 OM-IP 220 Ltd
21. Man OM-IP AHL 2010 Ltd
22. Man OM-IP 15seven Ltd
23. Man Series 7 OM-IP 220 Ltd
24. Man Series 8 OM-IP 220 Ltd