



Australian Government  
Australian Transaction Reports  
and Analysis Centre

## Exemption 7 of 2011

### Section 248 of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*

I, John Lance Schmidt, AUSTRAC Chief Executive Officer (CEO), pursuant to paragraph 248(1)(a) of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act), hereby:

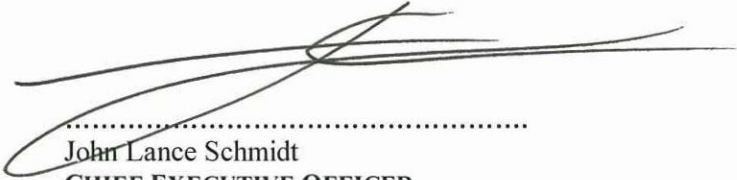
1. REVOKE the exemption made by Thomas Story, as AUSTRAC Executive General Manager, pursuant to paragraph 248(1)(a) of the AML/CTF Act, on 26 October 2009, in relation to Third Link Investment Managers Pty Limited (ABN 31 128 965 702) (**Third Link**) of Suite 1, Level 6, 6-10 O'Connell Street, Sydney NSW 2000; and
2. EXEMPT Third Link in respect of the provision of the designated services described in items 33 and 54 in table 1 of subsection 6(2) of the AML/CTF Act (**Specified Designated Services**) from Part 2, Divisions 2-6; sections 37, 38, 43, 45, 47, 48 and 50; Parts 5 to 7; and sections 104 to 106 and 109 to 119 of the AML/CTF Act.

### Conditions

The following conditions are specified pursuant to paragraph 248(2)(b) of the AML/CTF Act:

3. This exemption applies subject to, and for so long as, Third Link informs the AUSTRAC CEO or delegate of any changes that may affect the exemption and/or conditions imposed by this written instrument within 14 days from when the change(s) affecting the exemption occurs.
4. This exemption only applies subject to and for so long as:
  - a. the provision of the Specified Designated Services is only to Treasury Group Investment Services Limited (ABN 38 099 932 920) in its capacity as responsible entity (as defined in section 9 of the *Corporations Act 2001*) of any registered managed investment schemes; and

- b. Third Link does not provide any other designated services other than the Specified Designated Services.



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John Lance Schmidt  
**CHIEF EXECUTIVE OFFICER**

22 NOVEMBER 2011

### **Important Notice to person granted this exemption**

1. Any request for a change to this instrument must be submitted to the AUSTRAC CEO or approved delegate no later than 14 days before the date the change is requested to commence.
2. Pursuant to subsection 248(3) of the AML/CTF Act, the person granted the exemption must comply with the conditions set out in the instrument. Failure to comply with those conditions may result in:
  - the revocation of the exemption; and
  - the AUSTRAC CEO making an application to the Federal Court of Australia for a civil penalty order requiring the person to pay a pecuniary penalty in respect of such a breach.
3. It is an offence under sections 136 and 137 of the AML/CTF Act to provide false or misleading information or false or misleading documents. If any of the information submitted by the applicant or its representatives is found to be false or misleading, the exemption may be revoked, and action may be initiated against the applicant.
4. The person granted the exemption may request the AUSTRAC CEO to revoke or vary the exemption at any time.