

Regulatory guide index of changes

AUSTRAC Regulatory Guide | Significant updates to the AUSTRAC Regulatory Guide 31 August 2009

Chapter	Page*	Paragraph	Location	We have:		
				deleted	added	substituted
2	23		Footnote 11			'9' for '8'
3	38	1				'August 2009' for 'June 2007'; '1130' for '595'
3	38	6	Bullet point 3			Updated link to Department of Foreign Affairs and Trade (DFAT) website
3	38	8	Last paragraph			Updated link to DFAT website
3	40		Additional AUSTRAC resources		Six new 'Additional AUSTRAC resources' listings	
3	41		Frequently asked question (FAQ)			The answer to the FAQ
4	43		Key points – Relevant AML/CTF Rules		<i>AML/CTF Rules Amendment Instrument 2009 (No. 1)</i> Chapter 30, 33 – <i>AML/CTF Rules Amendment Instrument 2009 (No. 2)</i> Chapter 35 – <i>AML/CTF Rules Amendment Instrument 2009 (No. 3)</i> Chapter 38, 39 – <i>AML/CTF Rules Amendment Instrument 2009 (No. 4)</i>	
4	49		Are there any exemptions?		Discussion of the addition of Chapters 33, 35, 38 and 39 to the AML/CTF Rules	
4	51		Text box with heading 'Important'		Reference to list of persons authorised to certify documents, provided in <i>AML/CTF Rules Amendment Instrument 2009 (No. 4)</i>	
4	65		Additional AUSTRAC resources		Two new 'Additional AUSTRAC resources' listings	
5	67				New heading - 'Additional AUSTRAC resources' and one 'Additional AUSTRAC resource'	
5	71		Key points – Relevant AML/CTF Rules			' <i>AML/CTF Rules Amendment Instrument 2009 (No. 3)</i> ' for ' <i>AML/CTF Rules Amendment Instrument 2007 (No. 2)</i> '
5	75		After table 'Assessable matters for correspondent banking due diligence'		New heading – 'Exemptions' and discussion of the addition of Chapter 35 to the AML/CTF Rules	
8	98	4	Under the heading 'KYC information' – first bullet point		'... that are inconsistent with previous transaction activity'	
9	105		Key points – Relevant AML/CTF Rules		Amendment to Chapter 19 - <i>AML/CTF Rules Amendment Instrument 2009 (No. 3)</i> Chapter 37 - <i>AML/CTF Rules Amendment Instrument 2009 (No. 4)</i>	
9	105		Key points – Relevant guidance notes or PLIs		PLI 11; guidance note 09/03	

* Page numbers are reference to the PDF form of the Regulatory Guide which is available at; www.austrac.gov.au/files/regguide_full.pdf

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Chapter	Page*	Paragraph	Location	We have:		
				deleted	added	substituted
9	117		Under the heading 'Who is exempt from TTR reporting?'	'No such AML/CTF Rules have been made at the date of publication of this chapter.'	Discussion of the addition of Chapter 37 to the AML/CTF Rules	
9	125		Additional AUSTRAC resources		Two new 'Additional AUSTRAC resources' listings	
10	129		Key points – Relevant guidance notes or PLIs		PLI 11; guidance note 08/04	
10	143		Additional AUSTRAC resources		One new 'Additional AUSTRAC resources' listing	
11	147		Key points – Relevant AML/CTF Rules		Chapters 31, 32, 33 – <i>AML/CTF Rules Amendment Instrument 2009 (No. 2)</i> Chapters 36, 37, 38, 39 – <i>AML/CTF Rules Amendment Instrument 2009 (No. 4)</i>	
11	147		Key points – Relevant guidance notes or PLIs		PLI 11; guidance note 08/02; guidance note 09/03	
11	154		At the end of the section 'The meaning of "geographical link"'		New heading – 'Exemptions' and discussion of the addition of Chapter 36 to the AML/CTF Rules	
11	166		After the text box with the heading 'Important'		Discussion of the addition of Chapter 31 to the AML/CTF Rules	
11	170		At the end of the section 'Types of loans'		New heading – 'Exemptions' and discussion of the addition of Chapter 39 to the AML/CTF Rules	
11	178		Exemptions		Discussion of the addition of Chapter 38 to the AML/CTF Rules	
11	188		Exemptions	'Currently there are no general exemptions or special circumstances that apply to bullion.'	Discussion of the addition of Chapter 33 to the AML/CTF Rules	
11	195		Additional AUSTRAC resources		One new 'Additional AUSTRAC resource' listing	
Appendix A	203		AUSTRAC guidance notes and PLIs - Guidance notes		guidance note 08/02; guidance note 09/03	
Appendix A	203		AUSTRAC guidance notes and PLIs - PLIs		PLI 11	

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