

Organisational structure

Concepts: Structure allows the responsibilities of different functions and processes to be clearly allocated. An organisation's structure affects how it operates its functions, communicates and collaborates between its functions.

Key questions:

- | | |
|---|---|
| 1 | Is the risk management/compliance function structured in such a way that it can achieve its objectives? |
| 2 | Does the risk management/compliance function have access to senior management? |

Data sources: Plan how you will collect your information. Who will you ask? How will you ask questions? Questionnaires, surveys, key member interviews, group interviews, etc.

Data: Summarise the outputs of your data collection.