

Integrity and values

Concepts: The effectiveness of risk management in an organisation is strongly influenced by the integrity and values of the people involved.

Key questions:

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| 1 | Is there a code of ethics or other policies regarding acceptable business practices? |
| 2 | Do employees understand what behaviour is acceptable and unacceptable and know what to do when there is improper behaviour? |
| 3 | Are employees encouraged to 'do the right thing'? |
| 4 | Does management take appropriate action when someone does not abide by policies and procedures? |

Data sources: Plan how you will collect your information. Who will you ask? How will you ask questions? Questionnaires, surveys, key member interviews, group interviews, etc.

Data: Summarise the outputs of your data collection.